



# Recent Advances on Open Domain Question Answering

RSVP.ai

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October 24, 2019

**RSVP.ai**

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A Simple Baseline: Retriever + Reader

Data Augmentation

Model Improvement

# Open Domain Question Answering

End-to-End Open-Domain Question Answering with BERTserini, NAACL 2019

The screenshot displays a chat interface for BERTserini. At the top, a blue header bar contains a chat icon and the text "BERTserini is chatting". Below this, the chat history is shown with alternating user and system messages. The system messages are in grey bubbles, and the user messages are in light blue bubbles. The conversation includes a welcome message, a question about Tesla's work in Budapest, an answer about the Budapest Telephone Exchange, a question about the first television station in Fresno, and an answer about KMJ-TV. On the right side, there are two more user questions: "Why did Mark Twain call the late 19th century the glided age?" and "Why do geese honk while flying?". The answer to the first question is shown in a grey bubble, and the answer to the second question is also shown in a grey bubble. At the bottom right, there is an input field with a blue arrow button.

BERTserini is chatting

Welcome to BERTserini by RSVP.ai!

Where did Tesla work in Budapest?

In 1881 Tesla moved to Budapest to work under Tivadar Puskás at a telegraph company, the **Budapest Telephone Exchange**.

What was the very first television station to broadcast in Fresno?

The very first Fresno television station to begin broadcasting was **KMJ-TV**, which debuted on June 1, 1953.

Why did Mark Twain call the late 19th century the glided age?

The "Gilded Age" was a term that Mark Twain used to describe the period of the late 19th century when there had been a dramatic expansion of American wealth and prosperity.

Why do geese honk while flying?

Some fowl, such as geese, "honk" while in migration to communicate location and proximity to others in their flock.

# Experimental Setup

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- Problem Definition

- **Machine Reading Comprehension (MRC)**: The question and the evidence document that might contain the answer to the question are given. The target is to find the answer from the document.

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  - **Inference**: retrieve evidence documents using information retrieval toolkit. Then use the MRC model to read them!
  - **Evaluation**: use exact match (EM) and partial match ( $F_1$ ) scores between the prediction and ground truth answer as the evaluation metric.



# BERTserini

End-to-End Open-Domain Question Answering with BERTserini, NAACL 2019

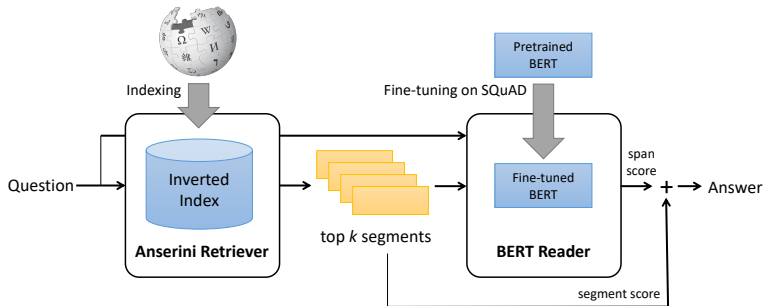


Figure: Architecture of BERTserini

# BERTserini Pipeline

End-to-End Open-Domain Question Answering with BERTserini, NAACL 2019

- Anserini-Retriever:
  - Filters out relevant documents
  - Gives paragraph scores.
  - BM25 Similarity:

$$\text{score}(D, Q) = \sum_{i=1}^n \text{IDF}(q_i) \cdot \frac{f(q_i, D) \cdot (k_1 + 1)}{f(q_i, D) + k_1 \cdot (1 - b + b \cdot \frac{|D|}{\text{avgdl}})}$$

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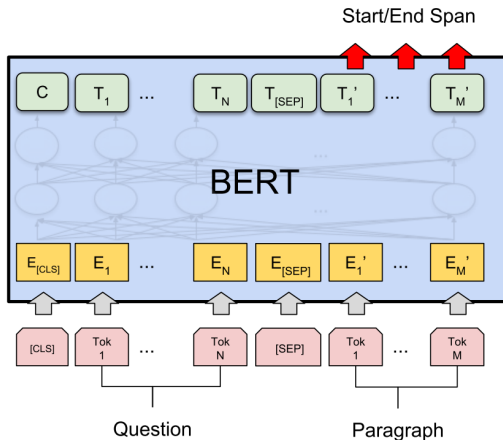


Figure: BERT for Question Answering

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  - Reads paragraphs by Anserini;
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- Aggregator:
  - Re-ranks the predictions according to weighted sum of scores.

$$S = (1 - \mu) \cdot S_{\text{BM25}} + \mu \cdot S_{\text{BERT}},$$

where  $\mu \in [0, 1]$  is a hyperparameter.

# Text Segments

End-to-End Open-Domain Question Answering with BERTserini, NAACL 2019

- **Article:** The 5.08M Wikipedia articles are directly indexed; that is, an article is the unit of retrieval.

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- **Paragraph:** The corpus is pre-segmented into 29.5M paragraphs and indexed, where each paragraph is treated as a “document” (i.e., the unit of retrieval).

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- **Paragraph:** The corpus is pre-segmented into 29.5M paragraphs and indexed, where each paragraph is treated as a “document” (i.e., the unit of retrieval).
- **Sentence:** The corpus is pre-segmented into 79.5M sentences and indexed, where each sentence is treated as a “document”.



# BERTserini

End-to-End Open-Domain Question Answering with BERTserini, NAACL 2019

Model	EM	R	F1
Dr.QA (Chen et al., 2017)	27.1	77.8	-
Dr.QA + Fine-tune	28.4	-	-
Dr.QA + Multitask	29.8	-	-
R <sup>3</sup> (Wang et al., 2017)	29.1	-	37.5
Kratzwald and Feuerriegel (2018)	29.8	-	-
Par. R. (Lee et al., 2018)	28.5	83.1	-
Par. R. + Answer Agg.	28.9	-	-
Par. R. + Full Agg.	30.2	-	-
BERTserini (Article, $k = 5$ )	19.1	63.1	25.9
BERTserini (Paragraph, $k = 29$ )	36.6	75.0	44.0
BERTserini (Sentence, $k = 78$ )	34.0	67.5	41.0
BERTserini (Paragraph, $k = 100$ )	<b>38.6</b>	<b>85.8</b>	<b>46.1</b>

Figure: Results on SQuAD development questions.

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A Simple Baseline: Retriever + Reader

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# Distant Supervision

Data Augmentation for BERT Fine-Tuning in Open-Domain Question Answering

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# Distant Supervision

## Data Augmentation for BERT Fine-Tuning in Open-Domain Question Answering

- BERTserini only fine-tune BERT on the original SQuAD dataset, containing a total of **only 442 documents**.
- This contrasts with the diversity of paragraphs that the model will likely encounter at inference time in the retrieval-based setting.
- We create additional training examples by fetching paragraphs from the corpus using Anserini and give these paragraphs labels based on the ground truth answers provided.

# Fine-tuning Order

## Data Augmentation for BERT Fine-Tuning in Open-Domain Question Answering

- **SRC + DS**: Fine-tune BERT with all data, “lumped” together as a single, larger training set. In practice, this means that the source and augmented data are shuffled together.

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# Fine-tuning Order

Data Augmentation for BERT Fine-Tuning in Open-Domain Question Answering

Model	EM	F <sub>1</sub>	EM	F <sub>1</sub>
	SQuAD		CMRC	
SRC	41.8	49.5	44.5	60.9
DS(+)	44.0	51.4	45.5	61.1
DS( $\pm$ )	48.7	56.5	48.3	63.9
SRC+DS( $\pm$ )	45.7	53.5	49.0	64.6
DS( $\pm$ ) $\rightarrow$ SRC	47.4	55.0	45.6	61.9
SRC $\rightarrow$ DS( $\pm$ )	<b>50.2</b>	<b>58.2</b>	<b>49.2</b>	<b>65.4</b>

Table: Results exploring different approaches to combining source and augmented training data on the two datasets: SQuAD and CMRC.

# Negative Sampling Strategy

Data Augmentation for BERT Fine-Tuning in Open-Domain Question Answering

- **Top-down:** We choose negative examples with the highest paragraph scores from the retrieved paragraphs.

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# Negative Sampling Strategy

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- **Top-down:** We choose negative examples with the highest paragraph scores from the retrieved paragraphs.
- **Bottom-up:** We choose negative examples with the lowest paragraph scores from the retrieved paragraphs.
- **Random:** We randomly sample negative examples from the retrieved paragraphs.

# Negative Sampling Strategy

Data Augmentation for BERT Fine-Tuning in Open-Domain Question Answering

	SQuAD		CMRC	
	EM	F1	EM	F1
Top-down	49.2	57.2	<b>48.8</b>	64.5
Bottom-up	46.8	54.9	48.6	65.2
Random	<b>49.6</b>	<b>57.6</b>	48.6	<b>64.7</b>

Table: Effects of different negative sampling strategies on SQuAD and CMRC.

# Sample Ratio

Data Augmentation for BERT Fine-Tuning in Open-Domain Question Answering

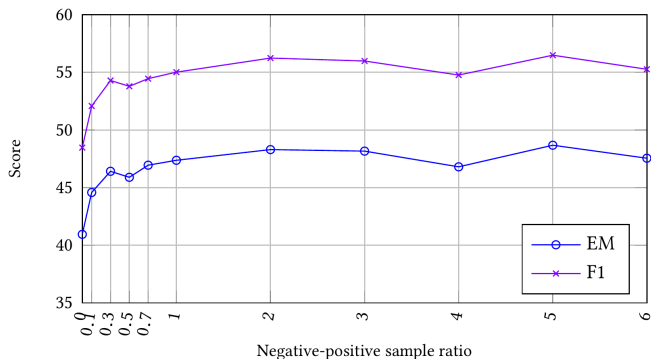


Figure: Effects of varying  $d$ , the positive–negative ratio of examples, on SQuAD.

# Parameter Analysis

## Data Augmentation for BERT Fine-Tuning in Open-Domain Question Answering

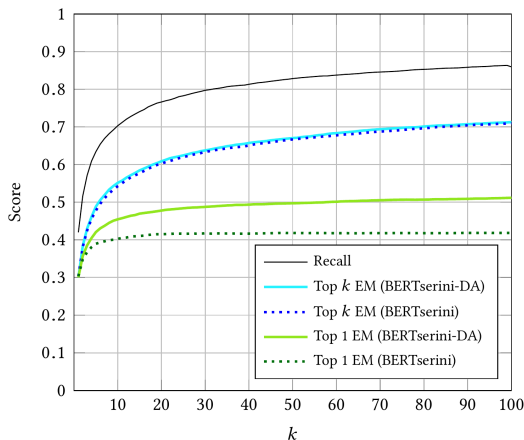


Figure: Effects of the number of retrieved paragraphs  $k$  on SQUAD

# Sample Analysis

## Data Augmentation for BERT Fine-Tuning in Open-Domain Question Answering

Question	Answers from BERTserini*	Answers from our augmented model
Super Bowl 50 decided the NFL champion for what season?	Super Bowl XXXVII was an American football game between the American Football Conference (AFC) champion Oakland Raiders and the National Football Conference (NFC) champion Tampa Bay Buccaneers to decide the National Football League (NFL) champion for the <b>2002</b> season.	Super Bowl 50 decided the <b>2015</b> NFL Champion and was played at Levi's Stadium in Santa Clara, California on Sunday, February 7, 2016.

Table: Sample questions and answers



# Unsolved Issues

## Multiple Spans

**Question:** Which British general was killed at Khartoum in 1885?

**Answer:** Gordon

**Context:** In February 1885 **Gordon** returned to the Sudan to evacuate Egyptian forces. Khartoum came under siege the next month and rebels broke into the city, killing **Gordon** and the other defenders. The British public reacted to his death by acclaiming '**Gordon** of Khartoum', a saint. However, historians have suggested that **Gordon**...

Figure: Noisy supervision can cause many spans of text that contain the answer, but are not situated in a context that relates to the question (red), to distract the model from learning from more relevant spans (green).

# Unsolved Issues

## Adversarial Examples

**Article:** Super Bowl 50

**Paragraph:** *“Peyton Manning became the first quarterback ever to lead two different teams to multiple Super Bowls. He is also the oldest quarterback ever to play in a Super Bowl at age 39. The past record was held by John Elway, who led the Broncos to victory in Super Bowl XXXIII at age 38 and is currently Denver’s Executive Vice President of Football Operations and General Manager. [Quarterback Jeff Dean had jersey number 37 in Champ Bowl XXXIV.](#)”*

**Question:** *“What is the name of the quarterback who was 38 in Super Bowl XXXIII?”*

**Original Prediction:** John Elway

**Prediction under adversary:** Jeff Dean

Figure: An example from the SQuAD dataset. The BiDAF Ensemble model originally gets the answer correct, but is fooled by the addition of an adversarial distracting sentence (in blue).

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# Paragraph Reranking

## Ranking Paragraphs for Improving Answer Recall in Open-Domain Question Answering

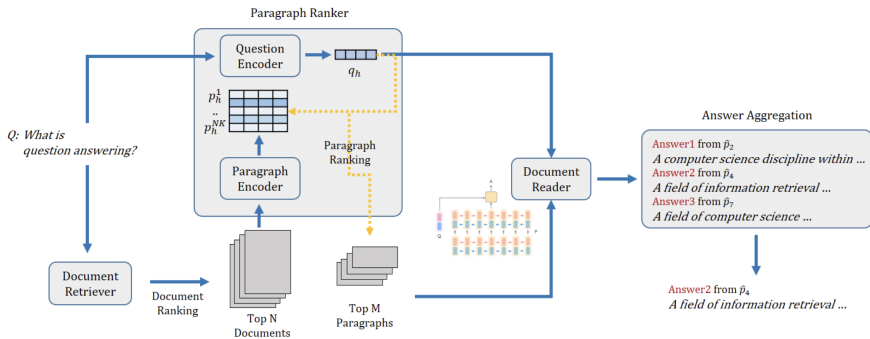


Figure: Open-domain QA pipeline with Paragraph Reranker

# Answer Reranking

1. Evidence Aggregation for Answer Re-Ranking in Open-Domain Question Answering
2. Retrieve, Read, Rerank: Towards End-to-End Multi-Document Reading Comprehension

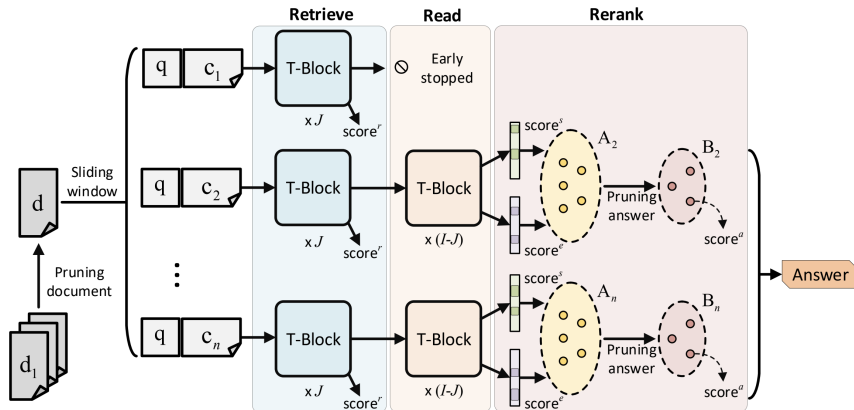


Figure: Retrieve-Read-Rerank QA Architecture

# Weakly Supervised QA

A Discrete Hard EM Approach for Weakly Supervised Question Answering, ACL 2019

- Multi-mention reading comprehension
- Reading comprehension with discrete reasoning
- Semantic Parsing

## Multi-mention reading comprehension (TriviaQA)

**Question:** Which composer did pianist Clara Wieck marry in 1840?

**Answer:** Robert Schumann

**Document:** Robert Schumann was a German composer and influential music critics. ... Robert Schumann himself refers to it as "an affliction of the whole hand". ... Robert Schumann is mentioned in a 1991 episode of Seinfeld "The Jacket". .... Clara Schumann was a German musician and composer. Her husband was the composer Robert Schumann. ... Brahms met Joachim in Hanover, made a very favorable impression on him, and got from him a letter of introduction to Robert Schumann.

## Reading comprehension with discrete reasoning (DROP)

**Question:** How many yards longer was Rob Bironas' longest field goal compared to John Carney's only field goal?

**Answer:** 4

**Document:** ... Titans responded with Kicker Rob Bironas managing to get a 37 yard field goal. ... In the third quarter Tennessee would draw close as Bironas kicked a 37 yard field goal. The Chiefs answered with kicker John Carney getting a 36 yard field goal. Titans would retake the lead with Young and Williams hooking up with each other again on a 41 yard td pass. In the fourth quarter Tennessee clinched the victory with Bironas nailing a 40 yard and a 25 yard field goal.

41 - 37 ✗

41 - 37 ✗

40 - 36 ✓

# Weakly Supervised QA

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In the weak supervision scenario, the model has access to  $x$  and  $Z = \{z_1, z_2, \dots, z_n\}$ , and the selection of the best solution in  $Z$  can be modeled as a latent variable.

$$\begin{aligned} J_{\text{MML}}(\theta|x, Z) &= -\log \mathbb{P}(y|x; \theta) \\ &= -\log \sum_{z_i \in Z_{\text{tot}}} \mathbb{P}(y|z_i) \mathbb{P}(z_i|x; \theta) \\ &= -\log \sum_{z_i \in Z} \mathbb{P}(z_i|x; \theta) \end{aligned}$$

### 3. SQL Query Generation (WIKISQL)

---

**Question:** What player played guard for Toronto in 1996-1997?

**Table Header:** player, year, position, ...

**Answer (y):** John Long

**f:** SQL executor

**Z<sub>tot</sub>:** Non-nested SQL queries with up to 3 conditions

**Z:** Select player where position=guard and year in toronto=1996-97  
Select max(player) where position=guard and year in toronto=1996-97  
Select min(player) where position=guard  
Select min(player) where year in toronto=1996-97  
Select min(player) where position=guard and year in toronto=1996-97

---

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- The model computes the likelihood of each  $z_i$  given the input  $x$  with respect to  $\theta$ ,  $\mathbb{P}(z_i|x; \theta)$ , and picks one of  $Z$  with the largest likelihood:

$$\tilde{z} = \operatorname{argmax}_{z_i \in Z} \mathbb{P}(z_i|x; \theta)$$



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$$\tilde{z} = \operatorname{argmax}_{z_i \in Z} \mathbb{P}(z_i|x; \theta)$$

- Then, the model optimizes on a standard negative log likelihood objective, assuming  $\tilde{z}$  is a true solution.

$$\begin{aligned} J_{\text{Hard}}(\theta|x, Z) &= -\log \mathbb{P}(\tilde{z}|x; \theta) \\ &= -\log \max_{z_i \in Z} \mathbb{P}(z_i|x; \theta) \\ &= -\max_{z_i \in Z} \log \mathbb{P}(z_i|x; \theta) \end{aligned}$$

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Model	Accuracy	
	Dev	Test
<i>Weakly-supervised setting</i>		
REINFORCE (Williams, 1992)	< 10	
Iterative ML (Liang et al., 2017)	70.1	
Hard EM (Liang et al., 2018)	70.2	
Beam-based MML (Liang et al., 2018)	70.7	
MAPO (Liang et al., 2018)	71.8	72.4
MAPOX (Agarwal et al., 2019)	74.5	74.2
MAPOX+MeRL (Agarwal et al., 2019)	74.9	74.8
MML	70.6	70.5
Ours	<b>84.4</b>	<b>83.9</b>
<i>Fully-supervised setting</i>		
SQLNet (Xu et al., 2018)	69.8	68.0
TypeSQL (Yu et al., 2018b)	74.5	73.5
Coarse2Fine (Dong and Lapata, 2018)	79.0	78.5
SQLova (Hwang et al., 2019)	87.2	86.2
X-SQL (He et al., 2019)	<b>89.5</b>	<b>88.7</b>

Figure: Results on WIKISQL

## Other Works

- **Transfer Learning:** *MultiQA: An Empirical Investigation of Generalization and Transfer in Reading Comprehension*
- **Integration with Knowledge Bases:** *Open Domain Question Answering Using Early Fusion of Knowledge Bases and Text*
- **Integration with Syntax Information:** *SG-Net: Syntax-Guided Machine Reading Comprehension*
- **Questions with Reasoning and Explanations:** *Dynamically Fused Graph Network for Multi-hop Reasoning*

# Summary

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  - Sample Ratio

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  - Paragraph Reranking
  - Answer Reranking
  - A Discrete Hard EM Approach
  - Syntax-Guided Attentive Network

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  - Detecting Customer Complaint Escalation with Recurrent Neural Networks and Manually-Engineered Features (NAACL)

# Q & A

Thanks!